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PTO/SB/29 (12/97)

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UTILITY PATENT APPLICATION TRANSMITTAL

(For new nonprovisional applications under 37 CFR 1.53(b))

| | | | |
|--|-------------------|-------------|----------|
| Attorney Docket No. | 8317-123-999 | Total Pages | 79 |
| First Named Inventor or Application Identifier | | | |
| Catherine M. Payne, et al. | | | 12/07/00 |
| Class 252, Subclass 512 | | | 12/07/00 |
| Express Mail Label No. | EL 340 684 947 US | | |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

| | |
|--|--|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>Submit an original, and a duplicate for fee processing</i> | 6. <input type="checkbox"/> Microfiche Computer Program (Appendix) |
| 2. <input checked="" type="checkbox"/> Specification <i>(preferred arrangement set forth below)</i> | 7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> |
| -Descriptive title of the Invention | a. <input type="checkbox"/> Computer Readable Copy |
| -Cross Reference to Related Applications | b. <input type="checkbox"/> Paper Copy (identical to computer copy) |
| -Statement Regarding Fed sponsored R&D | c. <input type="checkbox"/> Statement verifying identity of above copies |
| -Reference to Microfiche Appendix | |
| -Background of the Invention | |
| -Brief Summary of the Invention | |
| -Brief Description of the Drawings <i>(if filed)</i> | |
| -Detailed Description of the Invention (including drawings, <i>if filed</i>) | |
| -Claim(s) | |
| -Abstract of the Disclosure | |
| 3. <input checked="" type="checkbox"/> Drawing(s) <i>(35 USC 113)</i> | 8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) |
| 4. <input checked="" type="checkbox"/> Oath or Declaration | 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i> |
| a. <input type="checkbox"/> Newly executed (original or copy) | |
| b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> | |
| c. <input type="checkbox"/> <i>Note Box 5 below</i> | |
| i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> | |
| Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b). | |
| 5. <input checked="" type="checkbox"/> Incorporation By Reference <i>(useable if Box 4b is checked)</i> | |
| The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. | |
| 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: 09/561,744 filed April 28, 2000. | 10. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> |
| | 11. <input checked="" type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations |
| | 12. <input checked="" type="checkbox"/> Preliminary Amendment |
| | 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> |
| | 14. <input type="checkbox"/> Applicant claims Small Entity status, see 37 C.F.R. § 1.27 |
| | 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> |
| | 16. <input type="checkbox"/> Other: |

ACCOMPANYING APPLICATION PARTS

8. Assignment Papers (cover sheet & document(s))
9. 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee)
10. English Translation Document *(if applicable)*
11. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations
12. Preliminary Amendment
13. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. Applicant claims Small Entity status, see 37 C.F.R. § 1.27
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Other:

18. CORRESPONDENCE ADDRESS

| | | | |
|------------------------|--|----------|--|
| Customer Number: 24341 | <i>(Insert Customer No. or Attach bar code label here)</i> | | or <input type="checkbox"/> Correspondence address below |
| NAME | | | |
| ADDRESS | | | |
| CITY | STATE | ZIP CODE | |
| COUNTRY | TELEPHONE | FAX | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

12/04/01



12-10-01

PENNIE & EDMONDS LLP, SUITE 1000, SUITE NO. 8317-123-999

Express Mail No.: EL 340 684 947 US
6-4-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Prior application: Examiner K. ChenArt Unit 1765

Assistant Commissioner for Patents
 Box PATENT APPLICATION
 Washington, D.C. 20231

Sir:

This is a request for filing a divisional application under 37 CFR § 1.53(b), of pending prior application no. 09/343,532 filed on June 30, 1999.

of Catherine M. Payne, David J. Maloney, Shihying Lee, Wai Mun Lee
 (inventor(s) currently of record in prior application)

for POST ETCH CLEANING COMPOSITION FOR DUAL DAMASCENE SYSTEM
 (title of invention)

1. The filing fee is calculated below:

PATENT APPLICATION FEE VALUE

| TYPE | NO. FILED | LESS | EXTRA | EXTRA RATE | Fee |
|--------------|-----------|------|-------|---|-----------|
| Total Claims | 9 | -20 | 0 | \$18.00 each | \$ 0.00 |
| Independent | 1 | -3 | 0 | \$84.00 each | \$ 0.00 |
| | | | | Basic Fee | \$ 740.00 |
| | | | | Multiple Dependency Fee If Applicable (\$280.00) | \$ 0.00 |
| | | | | Total | \$ 740.00 |
| | | | | | \$ 0.00 |
| | | | | Total Filing Fee | \$ 740.00 |

50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern

2. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

3. Amend the specification by inserting before the first line the following sentence: This is a divisional of application no. 09/343,532, filed June 30, 1999, which is hereby incorporated herein by reference.

4a. New formal drawings are enclosed.

4b. Informal drawings are enclosed.

5a. Priority of application no. filed on in is claimed under 35 U.S.C. §119.

5b. The certified copy has been filed in prior application no. , filed .

6. The prior application is assigned of record to EKC Technology, Inc.

7a. A copy of the Power of Attorney filed in the prior application no. 09/343,532, filed 6/30/99 is enclosed.

7b. A Power of Attorney is enclosed.

8. This application contains nucleic acid and/or amino acid sequences required to be disclosed in a Sequence Listing under 37 CFR §§1.821-1.825. It is requested that the Sequence Listing in computer readable form from prior application no. , filed on be made a part of the present application as provided for by 37 C.F.R. §1.821(e). The sequences disclosed therein are the same as the sequences disclosed in this application. A copy of the paper Sequence Listing from application no. is enclosed.

9. The undersigned states, under 37 C.F.R. §1.821(f), that the content of the enclosed paper Sequence Listing from application no. is the same as the content of the computer readable form submitted in application no. .

10. Additional enclosures or instructions.
- A copy of the Declaration as filed in the parent application; and
- Please cancel claims 10-31, without prejudice, before calculating the filing fee.

Respectfully submitted,

Date December 4, 2001

James S. McDonald (Reg. No. 44,229)
for Victor N. Balancia (Reg. No. 31,231)
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